



L. Park  
10-5-02  
#6/IDS  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Krolik et al.

Examiner: Unknown

Serial No.: 09/912,862

Group Art Unit: 3731

Filed: July 24, 2001

Docket No.: 1001.1507101

For: APPARATUS AND METHODS FOR ASPIRATING EMBOLI

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**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 CFR §1.97(e)**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES  
POSTAL SERVICE AS FIRST CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO: ASSISTANT  
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.  
20231.

Glenn M. Seager October 1, 2002.  
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement  
accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of  
the statement. 37 CFR §1.97(e)(1).

or

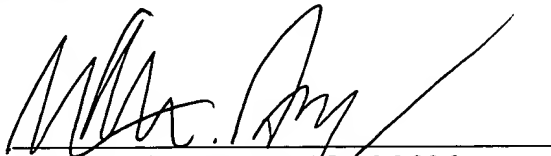
\_\_\_ That no item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

37 CFR §1.97(e)(2).

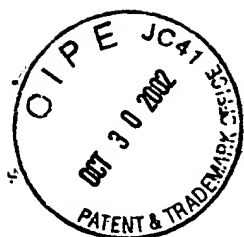
3. The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in §1.56(c).
- ☒ in the attorney's file.



---

Glenn M. Seager, Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, Minnesota 55401  
Address of Attorney



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**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents,

this 24<sup>th</sup> day of October, 2002.  
By [Signature]  
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Certification for Information Disclosure Statement Under 37 CFR1.97(e).
- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Three (3) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: [Signature]

Glenn M. Seager, Reg. No. 36,926

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COMMISSIONER FOR PATENTS, WASHINGTON, D.C.  
20231.

DAY OF October, 2002.

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Respectfully submitted,

Dated: Oct 25, 2002

Glenn M. Seager  
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